SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		01 Section So(ii) 01 t										
1. Name and Address of Reporting Pr Battery Partners XI, LLC	2. Issuer Name and <u>Amplitude, In</u>					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title Other (specify below) below)						
(Last) (First) ONE MARINA PARK DRIVE	3. Date of Earliest T 11/29/2021	ransacti	on (M	onth/Day/Yea								
(Street) BOSTON MA	4. If Amendment, Da	ate of Or	riginal	Filed (Month/		6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person						
(City) (State)	(Zip)											
T . Title of Security (Instr. 3)	Cable I - Non-Deriva 2. Transaction Date (Month/Day/Ye)	2A. Deemed Execution Date,	Acquir 3. Transa Code (8)	action				5. Amount of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	Amount (A) or (D)		Reported Transaction(s) (Instr. 3 and 4)		,,		
Class A Common Stock	11/29/2021	L	J ⁽¹⁾		27,000	D	\$0.00	19,373	I	See footnote ⁽²⁾		
Class A Common Stock	11/29/2021	L	J ⁽³⁾		582,572	D	\$0.00	418,032	I	See footnote ⁽⁴⁾		
Class A Common Stock	11/29/2021	L	J ⁽⁵⁾		605,256	D	\$0.00	434,310	I	See footnote ⁽⁶⁾		
Class A Common Stock	11/29/2023	L	J (7)		153,928	D	\$0.00	110,454	I	See footnote ⁽⁸⁾		
Class A Common Stock	11/29/2023	L	J ⁽⁹⁾		131,244	D	\$0.00	94,176	I	See footnote ⁽¹⁰		
Class A Common Stock	11/29/2021	L	J ⁽¹¹⁾		150,939	A	\$0.00	150,939	I	See footnote ⁽¹²		
Class A Common Stock	11/29/2021	L	J ⁽¹³⁾		150,939	D	\$0.00	0	I	See footnote ⁽¹²		
Class A Common Stock	11/29/2021		J ⁽¹⁴⁾		150,939	A	\$0.00	150,939	I	See footnote ⁽¹⁾		
Class A Common Stock	11/29/2023	L	J ⁽¹⁶⁾		150,939	D	\$0.00	0	I	See footnote ⁽¹⁵		
Class A Common Stock	11/29/2021	1	S		22,641	D	\$71.21(1)	⁷⁾ 29,930 ⁽¹⁸⁾	D ⁽¹⁹⁾			
Class A Common Stock								3,192 ⁽²⁰⁾	I	See footnote ⁽²¹		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivativ Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of Securities		nount of curities derlying rivative curity (Instr. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

1. Name and Address of Reporting Person*

Battery Partners XI, LLC

(Last) (First) (Middle) ONE MARINA PARK DRIVE, SUITE 1100

(Street) BOSTON	MA	02210					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person [*] Battery Partners XI Side Fund, LLC							
(Last) ONE MARINA PA	(First) RK DRIVE, SUITE	(Middle) 1100					
(Street) BOSTON	МА	02210					
(City)	(State)	(Zip)					
1. Name and Address of Battery Investm	of Reporting Person [*] nent Partners XI,	LLC					
(Last) ONE MARINA PA	(First) .RK DRIVE, SUITE	(Middle) 1100					
(Street) BOSTON	МА	02210					
(City)	(State)	(Zip)					
1. Name and Address of <u>Battery Venture</u>	of Reporting Person [*] es XI-A Side Fun	<u>.d, L.P.</u>					
(Last) ONE MARINA PA	(First) .RK DRIVE, SUITE	(Middle) 1100					
(Street) BOSTON	МА	02210					
(City)	(State)	(Zip)					
1. Name and Address of <u>Battery Venture</u>							
(Last) ONE MARINA PA	(First) .RK DRIVE, SUITE	(Middle) 1100					
(Street) BOSTON	МА	02210					
(City)	(State)	(Zip)					
1. Name and Address of <u>Battery Venture</u>	of Reporting Person [*] es XI-B Side Fun	<u>d, L.P.</u>					
(Last) ONE MARINA PA	(First) RK DRIVE, SUITE	(Middle) 1100					
(Street) BOSTON	MA	02210					
(City)	(State)	(Zip)					
1. Name and Address of Battery Venture							
(Last) ONE MARINA PA	(First) .RK DRIVE, SUITE	(Middle) . 1100					
(Street) BOSTON	МА	02210					
(City)	(State)	(Zip)					

Explanation of Responses:

1. Represents a pro rata, in-kind distribution, and not a purchase or sale of securities, by Battery Investment Partners XI, LLC to its members without additional consideration.

2. Shares held by Battery Investment Partners XI, LLC ("BIP XI"). Battery Partners XI, LLC ("BP XI") is the managing member of BIP XI and may be deemed to beneficially own the shares held by BIP XI. BP XI's investment adviser is Battery Management Corp. Neeraj Agrawal, Michael Brown, Jesse Feldman, Russell Fleischer, Roger Lee, Chelsea Stoner, Dharmesh Thakker, and Scott Tobin (collectively the "BP XI Managing Members") are the managing members of BP XI and may be deemed to share voting and dispositive power over the securities held by BIP XI. Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of his, her or its pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of for any other purpose. Mr. Agrawal is a director of the Issuer and files separate Section 16 reports.

3. Represents a pro rata, in-kind distribution, and not a purchase or sale of securities, by Battery Ventures XI-A, L.P. to its general partner and limited partners without additional consideration.

4. Shares held by Battery Ventures XI-A, L.P. ("BV XI-A"). BP XI is the general partner of BV XI-A and may be deemed to beneficially own the shares held by BV XI-A. BP XI's investment adviser is Battery Management Corp. The BP XI Managing Members are the managing members of BP XI and may be deemed to share voting and dispositive power over the securities held by BP XI-A. Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of his, her or its pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of for any other purpose. Mr. Agrawal is a director of the Issuer and files separate Section 16 reports.

5. Represents a pro rata, in-kind distribution, and not a purchase or sale of securities, by Battery Ventures XI-A Side Fund, L.P. to its general partner and limited partners without additional consideration.

6. Shares held by Battery Ventures XI-A Side Fund, L.P. ("BV XI-A SF"). Battery Partners XI Side Fund, LLC ("BP XI SF") is the general partner of BV XI-A SF and may be deemed to beneficially own the shares held by BV XI-A SF. BP XI SF's investment adviser is Battery Management Corp. The BP XI Managing Members are the managing members of BP XI SF and may be deemed to share voting and dispositive power over the securities held by BV XI-A SF. Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of his, her or its pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of for any other purpose. Mr. Agrawal is a director of the Issuer and files separate Section 16 reports.

7. Represents a pro rata, in-kind distribution, and not a purchase or sale of securities, by Battery Ventures XI-B, L.P. to its general partner and limited partners without additional consideration.

8. Shares held by Battery Ventures XI-B, L.P. ("BV XI-B"). BP XI is the general partner of BV XI-B and may be deemed to beneficially own the shares held by BV XI-B. BP XI is investment adviser is Battery Management Corp. The BP XI Managing Members are the managing members of BP XI and may be deemed to share voting and dispositive power over the securities held by BP XI-B. Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of his, her or its pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of for any other purpose. Mr. Agrawal is a director of the Issuer and files separate Section 16 reports.

9. Represents a pro rata, in-kind distribution, and not a purchase or sale of securities, by Battery Ventures XI-B Side Fund, L.P. to its general partner and limited partners without additional consideration. 10. Shares held by Battery Ventures XI-B Side Fund, L.P. ("BV XI-B SF"). BP XI SF is the general partner of BV XI-B SF and may be deemed to beneficially own the shares held by BV XI-B SF. BP XI SF's investment adviser is Battery Management Corp. The BP XI Managing Members are the managing members of BP XI SF and may be deemed to share voting and dispositive power over the securities held by BP XI-B SF. Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of his, her or its pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of for any other purpose. Mr. Agrawal is a director of the Issuer and files separate Section 16 reports.

11. Represents receipt of shares in the distribution in kind described in footnotes (1), (3) and (7).

12. Shares held by BP XI. BP XI's investment adviser is Battery Management Corp. The BP XI Managing Members are the managing members of BP XI and may be deemed to share voting and dispositive power over the securities held by BP XI. Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of his, her or its pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of for any other purpose. Mr. Agrawal is a director of the Issuer and files separate Section 16 reports.

13. Represents a pro rata, in-kind distribution, and not a purchase or sale of securities, by BP XI to its members without consideration.

14. Represents receipt of shares in the distribution in kind described in footnotes (5) and (9).

15. Shares held by BP XI SF. BP XI SF's investment adviser is Battery Management Corp. The BP XI Managing Members are the managing members of BP XI SF and may be deemed to share voting and dispositive power over the securities held by BP XI. Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of his, her or its pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of for any other purpose. Mr. Agrawal is a director of the Issuer and files separate Section 16 reports.

16. Represents a pro rata, in-kind distribution, and not a purchase or sale of securities, by BP XI SF to its members without consideration.

17. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$71.00 to \$71.64 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

18. Reflects receipt of shares in the distribution in kind described in footnotes (1), (13) and (16).

19. Shares are held by Jesse Feldman.

20. Reflects receipt of shares in the distribution in kind described in footnotes (13) and (16).

21. Shares are held by The Jesse Feldman Irrevocable Trust of 2016 (the "Trust"), of which Jesse Feldman's spouse is the trustee. Mr. Feldman disclaims beneficial ownership of the shares held by the Trust except to the extent of his proportionate pecuniary interest therein.

Remarks:

1 of 2: The number of joint filers exceeds the EDGAR maximum of 10 joint filers per Form. This Form 4 is being filed in conjunction with a Form 4 being filed by Battery Management Corp. and other filing persons.

<u>/s/ Christopher Schiavo, as</u> <u>Attorney-in-Fact for Battery</u> <u>Partners XI, LLC</u>	<u>12/01/2021</u>
<u>/s/ Christopher Schiavo, as</u> <u>Attorney-in-Fact for Battery</u> <u>Partners XI Side Fund, LLC</u>	<u>12/01/2021</u>
<u>/s/ Christopher Schiavo, as</u> <u>Attorney-in-Fact for Battery</u> <u>Investment Partners XI, LLC</u>	<u>12/01/2021</u>
<u>/s/ Christopher Schiavo, as</u> <u>Attorney-in-Fact for Battery</u> <u>Ventures XI-A Side Fund, L.P.</u>	<u>12/01/2021</u>
<u>/s/ Christopher Schiavo, as</u> <u>Attorney-in-Fact for Battery</u> <u>Ventures XI-A, L.P.</u>	<u>12/01/2021</u>
<u>/s/ Christopher Schiavo, as</u> <u>Attorney-in-Fact for Battery</u> <u>Ventures XI-B Side Fund, L.P.</u>	<u>12/01/2021</u>
<u>/s/ Christopher Schiavo, as</u> <u>Attorney-in-Fact for Battery</u> <u>Ventures XI-B, L.P.</u>	<u>12/01/2021</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.